COMMISSIONER OF PATENTS AND TRADEMARKS999 Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

In the Application of Haynes et al.

Serial No.: 09/433,777

Art Unit: 1632

Filed: 3 November 1999

Examiner: A.M.S. Wehbe

Atty Dkt No. APF 18.20

Title: ADJUVANTED GENETIC VACCINES

### **U.S. PATENT DOCUMENTS**

Exem. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA- 1	4,945,050	July 30, 1990	Sanford et al.			
	AB- 1	5,100,792	March 31, 1992	Sanford et al.			
	AC- 1	5,120,657	June 9, 1992	McCabe at al.			
	AD- 1	5,149,655	September 22, 1992	McCabe et al.			
	AE- 1	5,204,253	April 20, 1993	Sanford et al.		_	
	AF- 1	5,584,807	December 17, 1996	McCabe			
	AG- 1	5,589,466	December 31, 1996	Felgner et al.			
	AH- 1	5,630,796	May 20, 1997	Bellhouse et al.			
	Al- 1	5,738,852	April 14, 1998	Robinson et al.			
	AJ- 1	5,865,796	February 2, 1999	McCabe			
	AK- 1	6,168,587	January 2, 2001	Bellhouse et al.			
	AL- 1	6,194,389	February 27, 2001	Johnston et al.			

Examiner:

Date Considered:

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 3

In the Application of Haynes et al.

Serial No.: 09/433,777

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Title: ADJUVANTED GENETIC VACCINES

## FOREIGN PATENT DOCUMENTS

Exam.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
7U	AM-1	WO 94/24263	October 27, 1994	PCT				
	AN-1	WO 95/19799	July 27, 1995	PCT				
	AO-1	WO 96/04947	February 22, 1996	PCT				
	AP-1	WO 96/12513	May 02, 1996	PCT				
	AQ-1	WO 96/14855	May 23, 1996	PCT				
	AR-1	WO 96/20022	July 04, 1996	. PCT				
	AS-1	WO 97/32987	September 12, 1997	PCT				
	AT-1	WO 97/48485	December 24, 1997	РСТ				
	AU-1	WO 98/10750	March 19, 1998	РСТ				
	AV-1	WO 98/46263	October 22, 1998	PCT				
	AW-1	WO 99/27961	June 10, 1999	. РСТ				
17	AX- 1	WO 00/12121	March 09, 2000	PCT				
V	AY- 1	WO 00/13704	March 16, 2000	PCT-				

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EXAMINER:	Initial if cit	ation	considered	whether or not the	citation conforms	with MPEP609.	Draw a	ine through	the citat	ion if not in

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Examiner: A.M.S. Wehbe

Title: ADJUVANTED GENETIC VACCINES

### OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init. Ref. Desig.		Description
W	AZ- 1	Haynes J R, "Genetic Vaccines," <i>Infectious Disease Clinics Of North America</i> . 13(1):11-26 (1999)
	AA- 2	Sasaki et al., "The Search for a Potent DNA Vaccine against AIDS: The Enchancement of Immunogenicity by Chemical & Genetic Adjuvants", Anticancer research 18:3907-3916 (1998)
	AB- 2	Sasaki et al., "Monophosphoryl Lipid A Enchances Both Humoral & Cell-Mediated Immune Responses to DNA Vaccination against Human Immunodeficiency Virus Type 1°, Infection & Immunity. 65(9):3520-3528 (1997)
	AC- 2	Lodmell et al., "DNA Vaccination of mice aginst rables virus: effects of the route of vaccination and the adjuvant monophosphoryl lipid A (MPL)" Vaccine. 18:1059-1066 (2000)
	AD- 2	Pow & Crook, "Extremely high titre polyclonal antisera against small neurotransmitter molecules: rapid production, Characterisation and use in light-and electron-microscopic immunocytochemistry", J. of Neuroscience Methods. 48:51-63 (1993)

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		of the citation conforms with MPEP609. Draw	a line through the citation if not
Committee and not con	insidered. Include copy of this	form with next communication to applicant.	